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Controllable Cyclization Reactions of 2-(2',3'-Allenyl)acetylacetates Catalyzed by Gold and Palladium Affording Substituted Cyclopentene and 4,5-Dihydrofuran Derivatives with Distinct Selectivity

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Dedicated to Professor Xiyan Lu on the occasion of his 80th birthday

Abstract: Efficient room-temperature syntheses of cyclopentenes and 4,5-dihydrofurans with different substitution patterns were performed starting from the same materials (i.e., 2-(2',3'-allenyl)acetylacetates). Depending on the choice of metal catalyst, the Au-catalyzed reaction afforded C-attack-5-*endo* cyclization products **2**, whereas the Pd-catalyzed one led to the formation of O-attack-5-*exo* cyclization products **3**. The selectivity may be explained by the steric and electronic effects of the substrates and catalysts.

Keywords: allenes • chemoselectivity • gold • palladium • regioselectivity

Introduction

Recently, much attention has been paid to the synthesis of various cyclic compounds by using easily available functionalized allenes as the starting materials.^[1,2] In addition to the oxidative addition/insertion/intramolecular allylic substitution mechanism,^[1d,f] we^[3] and others^[4] also noted that the cyclization of functionalized allenes may be initiated by nucleometallation. Thus, we envisioned that the nucleometallation of 2-(2',3'-allenyl)acetylacetates may, in principle, form cyclopropanyl, 4,5-dihydrofuryl, cyclopentenyl, or 2,5-dihydroxepinyl organometallic intermediates, which form oxaor carbocycles, respectively (Scheme 1). On the other hand, the ene reaction between an enol and an alkyne is a well-established synthetic reaction with respect to its carbon-ringforming variant, which is known as the Conia reaction.^[5] In addition to the thermal Conia reactions, which were usually carried out under harsh conditions, such as at high temperatures or in the vapor phase,^[5] [In(NTf₂)₃] (Tf=trifluorosulfonyl),^[6a] Au^I,^[6b,fg] Pd^{II},^[6c,e] Ni(acac)₂ with Yb(OTf)₃,^[6d] [CoCp(CO)₂] (Cp=cyclopentadienyl),^[6h,m] CuI,^[6i] TiCl₄,^[6j] [Mo(CO)₅(NEt₃)],^[6k] and ZnBr₂^[6l] have been reported as the catalysts. Widenhoefer et al. applied [PdCl₂(CH₃CN)₂]-catalyzed hydroalkylation of 5,7-dioxoalkenes to afford 2-acetylcyclohexanone derivatives.^[7] Because cyclopentenes^[8]



Scheme 1. Possible transition-metal-catalyzed cyclization modes of 2-(2',3'-allenyl) acetylacetates.

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and dihydrofurans^[9] are all-important units in natural products and pharmaceutically interesting compounds, we wish to report in this paper a highly selective synthesis of these two classes of compounds by using 2-(2',3'-allenyl)acetylacetates as the starting materials and by a subtle choice of [AuCl(PPh₃)], [PdCl₂(PhCN)₂], or [Pd(dba)₂] (dba=dibenzylideneacetone) as the catalyst.

Results and Discussion

In this study, we first tested [AuCl(PPh₃)] as the catalyst to cyclize 2-(2',3'-allenyl) acetylacetate **1a**. However, no reaction was observed in CH₂Cl₂ (entry 1, Table 1). Further stud-

Table 1. Optimization of Au^l-catalyzed cyclization of 2-(2',3'-allenyl) ace-tylacetate **1a**.

		$= O \qquad \frac{[AuCl(PPh_3)], A}{CH_2Cl_2, RT, t}$ D_2Et	gx (CO2Et
				2a
Entry	[AuCl(PPh ₃)]	AgX	t	Isolated Yield of
-	[mol %]	(X [mol %])	[h]	2 a[%]
1	5	0	24	0 ^[a]
2	5	$BF_{4}(5)$	17	5 ^[b]
3	5	OTf (5)	3	43
4	5	$SbF_6(5)$	1	68
5	0	OTf (5)	12	8 ^[c]
6 ^[d]	5	$SbF_{6}(5)$	24	64

[a] 80% of **1a** was recovered. [b] A mixture of **1a** and **2a** was isolated in a combined isolated yield of 77%. The ratio of **1a/2a** is 100:7, as determined by ¹H NMR analysis. [c] A mixture of **1a** and **2a** was isolated in a combined isolated yield of 70%. The ratio of **1a/2a** is 100:13, as determined by ¹H NMR analysis. [d] The reaction was conducted in the presence of allyl bromide (5 equiv).

ies indicated that the addition of 5 mol% of AgBF₄ afforded a carbocycle, that is, 1-acetyl-1-(ethoxycarbonyl)-3-cyclopentene **2a**, very slowly (entry 2, Table 1). The addition of AgOTf or AgSbF₆ was much better, affording **2a** in 43 or 68% isolated yields, respectively (entries 3 and 4, Table 1). This is different from the Conia-ene reaction of ketoesters with alkynes observed by Toste's group, in which AgOTf is superior to AgSbF₆.^[6b,f,g] AgOTf alone may also catalyze this transformation very slowly (entry 5, Table 1). To the best of our knowledge, this is the first allene Conia-ene-type reaction.

In fact, this Au⁺/Ag⁺ co-catalyzed reaction (Table 1, entry 4, method A) is quite general, and some of the typical results are presented in Table 2. R¹ may not only be a normal alkyl group, such as Me, Et, and *n*Pr (entries 1–4, Table 2), but also an *i*Pr (entry 5, Table 2), Bn (entry 6, Table 2), and Ph (entry 7, Table 2) group. A substituent may also be introduced onto the allene moiety to afford the same type of product **2h** in 73% yield (entry 8, Table 2). The structures of these cyclopentenes were further confirmed by the X-ray diffraction study of 4-benzoyl-4-(meTable 2. Cyclization of 2-(2',3'-allenyl)acetylacetates 1 by using methods A or $B^{[a]}_{\ }$



[a] Method A: A mixture of 0.15-0.50 mmol of **1**, [AuCl(PPh₃)] (5 mol%), and AgSbF₆ (5 mol%) in CH₂Cl₂ (2 mL) was stirred under Ar at RT for 1 h. Method B: 0.25 mmol of **1** was stirred with [PdCl₂(PhCN)₂] (5–7 mol%), K₂CO₃ (2 equiv), and allyl bromide (2 equiv) in MeCN (2 mL) under Ar at room temperature for 4 h. [b] The reaction was conducted in dichloroethane at reflux instead of CH₂Cl₂ (76% of **1e** was recovered when the reaction was conducted by using Method A. [c] 31% of hydrated product **4g** was also formed. [d] 30% of the carbocyclic product **5h** was also formed.



thoxycarbonyl)cyclopentene **2g** (Figure 1).^[10] It should be noted that the formation of all-carbon quaternary centers is not easy, because the process requires the creation of a new C–C bond at a sterically hindered carbon center.^[11]



Figure 1. ORTEP representation of 2g with thermal ellipsoids at the 30% probability level.

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Furthermore, [PdCl₂(MeCN)₂], which has also been successfully used to catalyze the intramolecular Conia-ene reaction of enols with alkenes,^[6c, e, 7] was applied to see if it would catalyze this transformation. However, no reaction was observed in the absence or presence of K_2CO_3 (entries 1 and 2, Table 3). Luckily and unexpectedly, it was observed that the

Table 3. Different Pd^{II} -complex-catalyzed coupling-cyclization reactions of 2-(2',3'-allenyl)acetylacetate **1a** with allyl bromide.

	Pd ^{II} COOEt K ₂ CO ₃ (MeCN, F	(5 mol% 8r (2 equ 2 equiv RT	(b) (iv) (Ga COOEt
Entry	Catalyst	<i>t</i> [h]	Isolated yield of 3a [%]	Isolated yield of 6a [%]
1 ^[a]	[PdCl ₂ (MeCN) ₂]	5	0	0
2 ^[b]	[PdCl ₂ (MeCN) ₂]	5	0	0
3	[PdCl ₂ (MeCN) ₂]	4	56	0
4	PdCl ₂	4	47	0
5	$Pd(OAc)_2$	4	62	0
6	$[PdCl_2(PPh_3)_2]$	3	0	95
7	[PdCl ₂ (PhCN) ₂]	4	73	0

[a] The reaction was conducted in the absence of allyl bromide and 39% of **1a** was recovered. [b] The reaction was conducted without K_2CO_3 . 2-(2',3'-Allenyl)acetylacetate **1a** (59%) was recovered.

same reaction in the presence of two equivalents of allyl bromide and K_2CO_3 afforded the oxymetallation–allylation product 4,5-dihydrofuran **3a** in 56% yield (entry 3, Table 3).^[12] PdCl₂ (entry 4, Table 3), Pd(OAc)₂ (entry 5, Table 3), or [PdCl₂(PhCN)₂] (entry 7, Table 3) all catalyze this transformation and [PdCl₂(PhCN)₂] was the best catalyst. [PdCl₂(PPh₃)₂] failed to catalyze this reaction (entry 6, Table 3). Under this new set of conditions, that is, method B (entry 7, Table 3), 4,5-dihydrofuran derivatives were formed in 33–82% isolated yields (Table 2). It should be noted that the [AuCl(PPh₃)]-catalyzed cyclization reaction of **1a** in the presence of allyl bromide failed to afford the allylated product (entry 6, Table 1).^[13]

However, it should be noted that in some cases, the yield or selectivity is not very good (entries 6 and 8, Table 2). Further screening led to the observation that $[Pd(dba)_2]$ may be the catalyst of choice for promoting this cyclization reaction. Then, we tested the base and solvent effects in this [Pd- $(dba)_2$ -catalyzed reaction of **1a** with allyl bromide. The reaction in MeCN failed to yield the coupling-cyclization product **3a** with Et_3N (entry 1, Table 4), Na_2CO_3 (entry 2, Table 4), NaHCO₃ (entry 3, Table 4), or KOAc (entry 4, Table 4) as the base; the reaction was complicated when PhthK (entry 5, Table 4, PhthK = potassium phthalimide) or *t*BuOK (entry 6, Table 4) was used; KF (entry 7, Table 4) and KOH (entry 8, Table 4) afforded the product 3a in 44 and 56% yields, respectively; and K_2CO_3 (entry 9, Table 4) and K₃PO₄·3H₂O (entry 10, Table 4) both afforded the product 3a in 66% yield.

Table 4. The effect of bases on the Pd-catalyzed coupling-cyclization of 2-(2',3'-allenyl) acetylacetate **1a** with allyl bromide.



Entry	Base	Reaction time [h]	Isolated yield of 3a [%]
L	Et ₃ N	4	NR (91%) ^[a]
2	Na ₂ CO ₃	12	trace
3	NaHCO ₃	12	trace
1	KOAc	24	0
5	PhthK	24	complicated
5	tBuOK	4	complicated
7	KF	4	- 44
3	KOH	4	56
)	K_2CO_3	4	66
10	$K_3PO_4 \cdot 3H_2O$	4	66

[a] NR = no reaction. The number in parenthesis is the yield of recovered allene 1a.

Furthermore, a comprehensive study on the solvent effect indicated that MeCN was the best (entry 1, Table 5). Thus, we developed method C for the reaction of relatively steri-

Table 5. Solvent effects in the Pd-catalyzed coupling-cyclization of 2-(2',3'-allenyl) acetylacetate **1a** with allyl bromide.

		<i>∕</i> → ^{Br}		
	\	(1.2 equiv)	N CO ₂ Et	
		[Pd(dba) ₂] (5 mol%)	\rightarrow]	
	COOEt 1a	K ₂ CO ₃ (2 equiv) // solvent, RT	3a	
Entry	Solvent	<i>t</i> [h]	Isolated yield of 3a [%]	
1	MeCN	4	66	
2	toluene	24	40	
3	CH_2Cl_2	24	56	
4	MeNO.	19	58	
	101002	1)	50	
5	THF	27	50	
5 9	THF DMF	27 10	52 49	

cally hindered **1** and allylic halides: $[Pd(dba)_2]$ (5 mol%), K_2CO_3 (2 equiv), and allyl bromide **7** in MeCN under Ar at room temperature for 4 h.

Thus, we demonstrated that the $[Pd(dba)_2]$ -catalyzed coupling-cyclization reaction of methyl 2-(2',3'-butadienyl)-4phenylacetoacetate **1f** and sterically hindered ethyl 2-(2'benzyl-2',3'-butadienyl)acetylacetate **1h** in the presence of allyl bromide proceeded smoothly to afford **3f** and **3h** in 70 and 61% yields, respectively (compare entries 6 and 8 of Table 2 with entries 1 and 2 of Table 6). Furthermore, the coupling-cyclization reaction of **1c**, **1e**, **1i**, and **1j** with structurally different allylic compounds **7** also afforded the related allylated cyclization products **3cb-jb** smoothly, showing the generality of method C (Table 6).

It should be noted that the cyclization reaction of 1a or 1e in the presence of (*E*)-cinnamyl bromide 7e afforded the coupling-cyclization products (*E*)-3ae and (*E*)-3ee with the

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ligand to afford coordination

complex 8 (Scheme 2), then, the carbon atom of the enol

unit in the β -ketoester acted as

a nucleophile, which has been

clearly demonstrated^[15] in the

context of Au+-catalyzed enyne

cyclization reactions,^[16] the

Conia-ene reaction of ketoest-

ers with alkynes,[6b,f,g] and the

nucleophilic substitution reac-

tion of alkynes with arenes or

heteroarenes,^[17] to form the

five-membered cyclic inter-

mediate 9. On the other hand,

Pd²⁺ favors coordination with

the more substituted relatively

electron-rich double bond of allenes to afford the coordination

Table 6. Pd-catalyzed coupling–cyclization of 2-(2',3'-allenyl)acetylacetates 1 with symmetric allylic halides 7a-d by using Method C.^[a]



[[]a] Method C: The reaction was conducted with of 1 (0.25 mmol), $[Pd(dba)_2]$ (5 mol%), K_2CO_3 (1.2 equiv), and allyl bromide 7 (2 equiv) in MeCN (2 mL) under Ar at room temperature for 4 h. [b] 22% of product **5h** was also formed.

phenyl group at the terminal position of the (E)-carboncarbon double bond. In addition, even the reaction of **1e** and **1i** with 3-chlorobutene **7f** afforded the corresponding products (E)-**3ef** and (E)-**3if** with the methyl group at the terminal position of the (E)-carbon-carbon double bond (Table 7).

To explain the different selectivity demonstrated by Au and Pd, we reasoned that Au^+ may be preferable for coordination with the terminal carbon–carbon double bond of 2-(2',3'-allenyl)acetylacetate **1** due to the steric bulk caused by its relatively large ionic radius^[14] and the bulkier phosphine

complex 10,^[1a,f,18] the oxygen atom in the enolate form of β ketoesters then attacked the carbon atom connected with R³ to form the 4,5-dihydrofuran intermediate 11 exclusively, probably due to the "harder" Lewis acidic nature of Pd²⁺.^[19] Subsequent intermolecular insertion with allyl bromide to form 12 and the subsequent β -dehalopalladation afforded

Table 7. Pd-catalyzed coupling–cyclization of 2-(2',3'-allenyl)acetylacetates **1** with unsymmetric allylic halides **7e–f** by using Method C.





Scheme 2. Proposed mechanisms for gold- and palladium-catalyzed cyclization reactions of 2-(2',3'-allenyl) acetylacetates.

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the 3-type allylated products 3a-h and the catalytically active Pd^{II}. In the presence of relatively sterically hindered allylic halides 7a-e, the related insertion is obviously slow. However, in the presence of Pd⁰, the oxidative addition of differently substituted allylic halides with Pd⁰ would afford the thermodynamically more stable divalent π -allyl palladium complex *syn*-13.^[20] When this complex coordinated with 1, *syn*-14 was formed highly regioselectively due to the same reason, which would also trigger the oxymetallation to produce *syn*-15.^[12b] Subsequent highly regioselective reductive elimination would form the carbon–carbon bond at the lesssubstituted terminus of the allylic moiety to yield the products 3cb–if and regenerate Pd⁰. This also explains the regioand stereoselectivity demonstrated in Table 7.

Conclusion

We have demonstrated two different types of cyclization reactions of 2-(2',3'-allenyl)acetylacetates that enable highly selective syntheses of substituted cyclopentenes with a quaternary stereocenter^[11] and 4,5-dihydrofuran derivatives by applying [AuCl(PPh₃)] or [PdCl₂(PhCN)₂] as the catalyst in C-attack-5-endo cyclization reactions or by using catalytic [Pd(dba)₂] in O-attack-5-exo cyclization processes. The different cyclization modes are probably due to the steric and electronic effects of the substrates and catalysts. In the [Pd-(dba)₂]-catalyzed cyclization reaction, the regio- and stereoselectivity for the unsymmetric allylic halides is very high, affording products with the allylic substituent at the terminal position of the (E)-carbon–carbon double bond in the products. In view of the easy availability of the starting materials and the catalysts, these types of transformations may be useful in organic synthesis. Further studies, such as the asymmetric variants of these cyclization reactions are being conducted in our laboratory.

Experimental Section

General: ¹H (300 MHz) and ¹³C (75.4 MHz) spectra were recorded in CDCl₃ with a Varian Mercury 300 MHz spectrometer. Chemical shifts are reported in ppm with reference to the signals of the residual CHCl₃ in CDCl₃ (¹H NMR (δ =7.26 ppm) and ¹³C NMR (δ =77.0 ppm)). Mass spectra of the products were obtained by using a HP 5989A instrument. IR spectra were obtained with a Perkin-Elmer 983 instrument. Elemental analyses were carried out by using a Vario EL III system and high-resolution (HR) MS analyses were performed by using a Finnigan MAT 8430 instrument. Thin-layer chromatography (TLC) was carried out by using plates coated with 0.15-0.20 mm thick silica gel (Huanghai, Yantai, China), and column chromatography was performed by using silica gel H (Huanghai, Yantai, China). DCM and MeCN were distilled over CaH₂ before use. All of the reactions were carried out under a dry argon atmosphere. The starting materials 2-(2',3'-allenyl)acetylacetates were synthesized by S_N2 substitution reactions of acetylacetates with 2,3-allenyl bromide.[21]

Method A: Synthesis of 4-acetyl-4-(ethoxycarbonyl)cyclopentene (2a):^[22] A mixture of 1a (47 mg, 0.26 mmol), [AuCl(PPh₃)] (6 mg, 0.0121 mmol), and AgSbF₆ (3 mg, 0.0117 mmol) in CH₂Cl₂ (2 mL) was stirred under Ar in a flame-dried Schlenk tube at room temperature for 1 h. After the re-

action was complete (monitored by TLC, petroleum ether/ethyl acetate 10:1), rotary evaporation and flash chromatography on silica gel (petroleum ether/ethyl ether 20:1) afforded **2a** (32 mg, 68%) as a liquid. ¹H NMR (300 MHz, CDCl₃): δ =5.57 (s, 2H), 4.20 (q, *J*=6.9 Hz, 2H), 2.92 (s, 4H), 2.17 (s, 3H), 1.25 ppm (t, *J*=6.9 Hz, 3H); ¹³C NMR (75.4 MHz, CDCl₃): δ =202.6, 172.8, 127.6, 65.3, 61.5, 39.1, 25.8, 13.9 ppm; IR (neat): $\bar{\nu}$ =3062, 2983, 2928, 2856, 1716, 1626, 1446, 1358, 1237, 1157 cm⁻¹; MS (ESI): *m/z*: 183 [*M*+H⁺]; HRMS (EI): *m/z* calcd for C₁₀H₁₄O₃: 182.0943 [*M*⁺]; found: 182.0935.

Method B: Synthesis of 2-methyl-3-(ethoxycarbonyl)-5-(penta-1,4-dien-2vl)-4,5-dihydrofuran (3a): A mixture of 1a (28 mg, 0.15 mmol), [PdCl₂-(PhCN)₂] (4 mg, 0.010 mmol), K₂CO₃ (42 mg, 0.30 mmol), and allyl bromide (27 mg, 0.22 mmol) in MeCN (2 mL) was stirred at room temperature under Ar in a flame-dried Schlenk tube for 4 h. After the reaction was complete (monitored by TLC, petroleum ether/ethyl acetate 10:1), rotary evaporation and flash chromatography on silica gel (petroleum ether/ethyl ether 20:1) afforded **3a** (25 mg, 73%) as a liquid. ¹H NMR $(300 \text{ MHz}, \text{ CDCl}_3): \delta = 5.90-5.75 \text{ (m, 1 H)}, 5.20-4.95 \text{ (m, 4 H)}, 4.91 \text{ (s,})$ 1H), 4.15 (q, J=7.8 Hz, 2H), 3.10-2.95 (m, 1H), 2.90-2.75 (m, 2H), 2.75–2.65 (m, 1H), 2.21 (s, 3H), 1.27 ppm (t, J=6.9 Hz, 3H); ¹³C NMR $(75.4 \text{ MHz}, \text{CDCl}_3): \delta = 167.7, 166.0, 146.2, 135.2, 116.9, 111.5, 101.7, 83.8,$ 59.4, 35.6, 34.9, 14.4, 14.0 ppm; IR (neat): $\tilde{\nu}$ =3080, 2980, 2928, 2873, 1700, 1650, 1433, 1384, 1342, 1321, 1259, 1225, 1143, 1127, 1084 cm⁻¹; MS (EI): m/z (%): 223 (0.40) $[M+H^+]$, 222 (0.08) $[M^+]$, 194 (2.91) $[M-Et+H^+]$, 43 (100); HRMS (ESI): m/z calcd for C₁₃H₁₈O₃: 222.1256 [M+H⁺]; found: 222.1265.

Method C: Synthesis of 2-ethyl-3-(methoxycarbonyl)-5-(4-phenylpenta-1,4-dien-2-yl)-4,5-dihydrofuran (3cb): A mixture of 1c (45 mg, 0.25 mmol), [Pd(dba)₂] (7 mg, 0.0122 mmol), K₂CO₃ (42 mg, 0.30 mmol), and 7b (99 mg, 0.50 mmol) in MeCN (2 mL) was stirred in a flame dried Schlenk tube at room temperature for 13 h. After the reaction was complete (monitored by TLC, petroleum ether/ethyl acetate 10:1), rotary evaporation and flash chromatography on silica gel (petroleum ether/diethyl ether 50:1) afforded 3cb (43 mg, 59%) as a liquid. ¹H NMR (300 MHz, CDCl₃): $\delta = 7.48-7.40$ (m, 2H), 7.36-7.24 (m, 3H), 5.52 (s, 1H), 5.17 (s, 1H), 5.11 (s, 1H), 5.06 (dd, J=10.5, 8.7 Hz, 1H), 4.93 (s, 1H), 3.70 (s, 3H), 3.32 (d, J=16.5 Hz, 1H), 3.23 (d, J=16.5 Hz, 1H), 3.05 (dd, J = 14.4, 11.7 Hz, 1H), 2.85–2.60 (m, 3H), 1.15 ppm (t, J =7.2 Hz, 3 H); 13 C NMR (CDCl₃, 75.4 MHz): $\delta = 172.7$, 166.3, 145.4, 144.3, 140.3, 128.3, 127.5, 126.0, 115.4, 112.7, 100.3, 83.6, 50.8, 37.2, 34.8, 21.2, 11.2 ppm; IR (neat): $\tilde{\nu} = 3083$, 2975, 2947, 1704, 1642, 1435, 1247, 1136, 1096 cm⁻¹; MS (EI): m/z (%): 298 (7.00) [M⁺], 43 (100); HRMS (EI): m/z calcd for C₁₉H₂₂O₃: 298.1569 [*M*⁺]; found: 298.1577.

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- [10] Crystal data for compound **2g**: $C_{14}H_{14}O_3$, MW = 230.25, monoclinic, space group *P*2(1)/c, final *R* indices $[I > 2\sigma(I)]$, $R_1 = 0.0528$, $wR_2 =$ 0.1345, *R* indices (all data), $R_1 = 0.0670$, $wR_2 = 0.1428$, a = 9.7929(12) Å, b = 14.7272 (19) Å, c = 8.1348 (10) Å, $a = 90^{\circ}$, $\beta = 95.334(2)^{\circ}$, $\gamma = 90^{\circ}$, V = 1168.1(3) Å³, T = 293 (2) K, Z = 4, reflections collected/ unique: 6751/2542 (*R*(int) = 0.1460). CCDC-671103 (**2g**) contains the supplementary crystallographic data for this paper. These data can be obtained free of charge from The Cambridge Crystallographic Data Centre via www.ccdc.cam.ac.uk/data_request/cif.).
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